

**Superintendencia del Mercado de Valores**  
**Asesores de Inversión**  
**Licencias Vigentes Otorgadas**

Actualizado al 30 de junio de 2022

No.	Nombre de Asesor de Inversión *	Fecha de Resolución	No. Resolución
1	UBS ASESORES, S.A.	01-ago-01	CNV-316-2001
2	YORK INVESTMENT MANAGEMENT, S.A.	30-abr-02	CNV-182-02
3	NORDIA INVESTMENT ADVISORS, S.A.	05-jun-07	CNV-146-07
4	SUMMA ASSET MANAGEMENT CORP.	08-abr-08	CNV-90-08
5	K&B FINANCIAL GROUP, INC.	29-abr-08	CNV-110-08
6	KAPITAL INVESTMENTS MANAGERS, INC.	27-nov-08	CNV-371-08
7	BRB FINANZ A.G.	05-jun-09	CNV-170-09
8	IAM ASESORES, S.A.	24-ago-10	CNV-317-10
9	ASESORÍA E INVERSIONES AAB, S.A. (ASINSA)	27-ago-10	CNV-329-10
10	BCP ADVISORS, S.A.	12-nov-10	CNV-471-10
11	SP CAPITAL INTERNATIONAL, S.A.	11-mar-11	CNV-63-11
12	FINEC ASSET MANAGEMENT, CORP.	11-mar-11	CNV-65-11
13	INCREMENTUM PANAMA, S.A.	11-mar-11	CNV-61-2011
14	GRUPO GTA PANAMA, S.A.	18-mar-11	CNV-70-2011
15	KAUFMAN INVEST & TRADE INC.	06-may-11	CNV-147-2011
16	WS INVESTMENT GROUP PANAMA, S.A.	04-oct-11	CNV-350-11
17	QUANTUM ADVISORS, INC	22 -jun-12	SMV-204-2012
18	TRUSTED ADVISORS, INC.	28 -jun-12	SMV-216-2012
19	GSM Partners, S.A.	20 -ago-12	SMV-279-2012
20	VT WEALTH MANAGEMENT PANAMA,S.A.	15-mar-13	SMV-106-2013
21	HARBOR FN CORP	29-jul-13	SMV-280-2013
22	CHF ADVISORS LTD, S.A.	02-sep-13	SMV-343-2013
23	EVEREST CAPITAL PARTNERS INTERNATIONAL INC.	10-sep-13	SMV-349-2013
24	EVEREST CAPITAL PARTNERS PANAMA INC	10-sep-13	SMV-350-2013
25	AEGIS ASSET ADVISORS, S.A.	25-nov-13	SMV-487-2013
26	LOMBARD ODIER (PANAMA), INC	30-dic-13	SMV-528-2013
27	UNION CAPITAL ADVISORS, S.A.	03-ene-14	SMV-06-2014
28	LW ASESORES DE INVERSIONES, S.A.	03-feb-14	SMV-47-2014
29	ATIVA Capital,S.A.	28-mar-14	SMV-155-2014
30	PRIVATAM INC	07-may-14	SMV-220-2014
31	PRAMADEx CORPORATION PANAMA	27-may-14	SMV-253-2014
32	AURORA ASSET MANAGEMENT, S.A.	30-may-14	SMV-279-2014
33	CAUDAL CAPITAL, S.A.	25-mar-15	SMV-165-2015

34	SQUADRA MULTI FAMILY OFFICE-ASESOR DE INVERSIONES CORP.	11-sep-15	SMV-580-2015
36	GESTI PROJECT, S.A.	30-dic-15	SMV-811-2015
37	DOMENICO INVESTMENT ADVISORS, INC.	22-ene-16	SMV-30-2016
38	GARANTIS ASSET MANAGEMENT, INC.	02-jun-16	SMV-339-2016
39	PRIVATE INVESTMENT MANAGEMENT ADVISORS PANAMÁ, S.A	06-feb-17	SMV-463-2016
40	NEW AGE ADVISORS, INC.	17-mar-17	SMV-130-2017
41	ATHENA PARTNERS GROUP INC.	09-mar-18	SMV-113-2018
42	WYNN GLOBAL, INC.	09-oct-18	SMV-461-2018
43	ACTIADVISORS,CORP.	16-ene-19	SMV-10-2019
44	AIS FINANCIAL GROUP (PANAMA), S.A.	13-dic-19	SMV-552-2019
45	J.SAFRA SARASIN ASSET MANAGEMENT,S.A.	16-dic-19	SMV-557-2019
46	CI ASSOCIATES WEALTH MANAGEMENT,S.A.	01-jun-20	SMV-247-2020
47	INTELIGO BANK,LTD	18-jun-21	SMV-317-2021
48	VITE CAPITAL INTERNATIONAL, S.A.	22-jul-21	SMV-317-2022
49	ISP FINANCIAL SERVICES(PANAMA),S.A.	29-oct-21	SMV-486-2021
50	PKB BANCA PRIVADA (PANAMÁ), S.A.	08-nov-21	SMV-504-2021
51	GAWM, S.A.	09-feb-22	SMV-45-2022
52	FORTESZA ADVISOR, S.A.	20-may-22	SMV-190-2022